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ZERVIGON, RUDY

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01/16/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                                      |                                       |  |
|------------------------------|--------------------------------------|---------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/623,540 | <b>Applicant(s)</b><br>KENNEDY ET AL. |  |
|                              | <b>Examiner</b><br>Rudy Zervigon     | <b>Art Unit</b><br>1792               |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-5, 8-11, 13, 14, 16-21 and 29-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5, 8-11, 13, 14, 16-21 and 29-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 January 2006 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on October 31, 2007 has been entered.

### ***Drawings***

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the “connectors”, “segmented outer member” (only one “segment” shown), “first plate”, “second plate”, and “the first portion being wider in a transverse direction than the second portion” must be shown or the feature canceled from the claims. No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as “amended.” If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an

application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Specification***

3. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: See above.

***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claim 40 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. Applicant's claimed "the first portion being wider in a transverse direction than the second portion" is not supported by the specification and drawings.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are

such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-5, 8-11, 13, 14, 16-21, 29-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Katoh; Susumu (US 6207006 B1) and Hao; Fangli et al. (US 6123775 A) in view of Nishimura, Akira (JP 04316709 A). Katoh teaches a component of a plasma (column 1; lines 35-44) processing apparatus (Figure 6, 9,11; column 1; line 20 - column 2, line 15), comprising: a backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) bonded to a showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46), the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including a plurality of through apertures (137+138; Figure 9; column 6; lines 52-64) having a first portion (138; Figure 9; column 6; line 52 - column 7; line 55) and a second portion (137; Figure 9; column 6; line 52 - column 7; line 55) wider than the first portion (138; Figure 9; column 6; line 52 - column 7; line 55); and a plurality of first fastener members (piece set in 137; Figure 9; not numbered) each mounted in an aperture (137+138; Figure 9; column 6; lines 52-64) of the first backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55), each first fastener member (piece set in 137; Figure 9; not numbered) including a *circular shaped head (flanged portion of piece set in 137; Figure 9)* configured (by screw 141) to prevent rotation of the first fastener members (piece set in 137; Figure 9; not numbered) relative to the first backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55), the head (flanged portion of piece set in 137; Figure 9) having a bearing surface (lower surface) facing a surface that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64) – claim 1. Applicant's claim requirement of "backing plate *bonded* to a

showerhead electrode” is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

Katoh further teaches:

- i. The component of Claim 1, further comprising: a temperature-controlled top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) on the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55), adjacent the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accomodating 134; Figure 9) of the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55), and including a plurality of through openings (accomodating 134; Figure 9) each aligned with a respective aperture (137+138; Figure 9; column 6; lines 52-64) in the first backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55); and a plurality of second fastener members (134; Figure 9; column 6; lines 52-64) each engaged with a respective first fastener member (piece set in 137; Figure 9; not numbered) to secure the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) to the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134), as claimed by claim 4
- ii. The component of Claim 1, wherein the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46) comprises an inner electrode (117; Figure 6, 9; column 7; line 30) and a segmented outer electrode (143; Figure 6, 9; column 7; line

- 30), and the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) is secured to the inner electrode (117; Figure 6, 9; column 7; line 30) and a backing ring (20; Figure 9) is secured to the outer electrode (143; Figure 6, 9; column 7; line 30) – claim 8
- iii. A component of a plasma (column 1; lines 35-44) processing apparatus (Figure 6, 9, 11; column 1; line 20 - column 2, line 15), comprising: a showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46) including an attachment surface (116/143 interface; Figure 9) and an exposed surface adapted to be exposed to an interior of a plasma (column 1; lines 35-44) processing chamber (“B” column 1; lines 30-46); a backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including a first surface (bottom of 116; Figure 9) spaced from a second surface (top of 116; Figure 9), the first surface (bottom of 116; Figure 9) contacting and being bonded to the attachment surface (116/143 interface; Figure 9) of the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46), the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including axially extending apertures (accommodating 134; Figure 9) extending between the first surface (bottom of 116; Figure 9) and the second surface (top of 116; Figure 9), each of the apertures (accommodating 134; Figure 9) including a first portion (138; Figure 9; column 6; line 52 - column 7; line 55) opening in the first surface (bottom of 116; Figure 9) and a second portion (137; Figure 9; column 6; line 52 - column 7; line 55) opening in the second surface (top of 116; Figure 9), the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) being wider in a transverse direction than the second portion (137; Figure 9; column 6;

line 52 - column 7; line 55) – claim 10. Applicant's claim requirement of "the first surface contacting and being *bonded* to the attachment surface of the showerhead electrode" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

- iv. The component of Claim 10, further comprising: a temperature-controlled top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) adjacent the second surface (top of 116; Figure 9) of the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) and including through openings (accomodating 134; Figure 9) aligned with the apertures (accomodating 134; Figure 9) in the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55); and connectors (136; Figure 9) located in the openings (accomodating 134; Figure 9) – claim 11
- v. The component of Claim 10, wherein the second portions (137; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accomodating 134; Figure 9) comprise at least one load-bearing surface (lower surface) extending in the transverse direction – claim 14
- vi. A showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) assembly for a plasma (column 1; lines 35-44) processing apparatus (Figure 6, 9, 11; column 1; line 20 - column 2, line 15), comprising: a showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) having gas



- injection openings (117a; Figure 9) and a plasma (column 1; lines 35-44) exposed surface; a backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) secured to the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46), the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including a plurality of through apertures (137+138; Figure 9; column 6; lines 52-64) each having a first portion (138; Figure 9; column 6; line 52 - column 7; line 55) and a second portion (137; Figure 9; column 6; line 52 - column 7; line 55) wider than the first portion (138; Figure 9; column 6; line 52 - column 7; line 55); a top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) including a plurality of through openings (accomodating 134; Figure 9) each of which is aligned with a respective aperture (137+138; Figure 9; column 6; lines 52-64) in the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) - claim 17
- vii. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) assembly of Claim 17, wherein the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) comprises an inner member (117; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) and a segmented outer member (143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46), and the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) comprises a backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) secured to the inner member (117; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) and a backing ring (20; Figure 9) secured to the outer member (143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46), as claimed by claim 20

- viii. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) assembly of Claim 17, wherein the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) comprises a first surface (bottom of 116; Figure 9) and a second surface (top of 116; Figure 9) opposite the first surface (bottom of 116; Figure 9), the first surface (bottom of 116; Figure 9) is secured to the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) and the second surface (top of 116; Figure 9) is secured to the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134), as claimed by claim 30
- ix. The component of Claim 31, wherein the surface (lower surface) that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64) is a second bearing surface (lower surface) bonded with an elastomer to the bearing surface (lower surface) of each of the first fastener members (piece set in 137; Figure 9; not numbered), as claimed by claim 32. Applicant's claim requirement of "is a second bearing surface *bonded with an elastomer* to the bearing surface" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.
- x. The component of Claim 1, wherein: the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) includes a bottom surface and a top surface, the top surface is

adapted to contact a temperature-controlled top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134); and the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) includes an exposed bottom surface and a top surface, the top surface of the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) contacts (116/143 interface) and is bonded to the bottom surface of the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) with a thermally and electrically conductive bonding material, as claimed by claim 33. Applicant's claim requirement of "the top surface of the showerhead electrode contacts *and is bonded to the bottom surface* of the backing plate" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

- xi. The component of Claim 10, wherein: the first surface (bottom of 116; Figure 9) of the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) is bonded to the attachment surface (116/143 interface; Figure 9) of the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) with a thermally and electrically conductive bonding material; and the second surface (top of 116; Figure 9) of the backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) contacts a temperature-controlled top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction

from 134), as claimed by claim 36. Applicant's claim requirement of "the backing plate is *bonded to the attachment surface* of the showerhead electrode *with a thermally and electrically conductive bonding material*" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

- xii. The component of Claim 17, wherein the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) includes a first surface (bottom of 116; Figure 9) and a second surface (top of 116; Figure 9) opposite the first surface (bottom of 116; Figure 9), the first surface (bottom of 116; Figure 9) contacts (116/143 interface) and is bonded with an elastomer to a surface of the showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) opposite the plasma (column 1; lines 35-44) exposed surface, and the second surface (top of 116; Figure 9) is adapted to contact a temperature-controlled top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134), as claimed by claim 39. Applicant's claim requirement of "and *is bonded with an elastomer*" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between

the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

- xiii. A component of a plasma (column 1; lines 35-44) processing apparatus (Figure 6, 9, 11; column 1; line 20 - column 2, line 15), comprising: a first plate (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) of an electrically and thermally conductive material, the first plate (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) including a top surface and an exposed bottom surface adapted to be exposed to an interior of a plasma (column 1; lines 35-44) processing chamber ("B" column 1; lines 30-46); a second plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) of a brittle, electrically and thermally conductive material, the second plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including a bottom surface and a top surface spaced from the bottom surface, the bottom surface of the second plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) contacting (116/143 interface) and bonded to the top surface of the first plate, the second plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) including axially extending apertures (accommodating 134; Figure 9) extending between the top surface and the bottom surface thereof, each of the apertures (accommodating 134; Figure 9) including a first portion (138; Figure 9; column 6; line 52 - column 7; line 55) opening in the bottom surface and a second portion (137; Figure 9; column 6; line 52 - column 7; line 55) opening in the top surface of the second plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) – claim 40
- xiv. a plurality of fastener members (piece set in 137; Figure 9; not numbered) each mounted in an aperture (137+138; Figure 9; column 6; lines 52-64) of the second plate (116;

Figure 6, 9; column 6; line 52 - column 7; line 55), each fastener member (piece set in 137; Figure 9; not numbered) including a head (flanged portion of piece set in 137; Figure 9) configured to prevent rotation of the fastener member (piece set in 137; Figure 9; not numbered) in the aperture (137+138; Figure 9; column 6; lines 52-64) and having a bearing surface (lower surface) facing a surface that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64) – claim 40. Applicant's claim requirement of "the bottom surface of the second plate contacting and *bonded* to the top surface of the first plate" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

Katoh does not teach:

- i. a *graphite* backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) – claim 1, 8, 17, 33, 39
- ii. a *silicon* showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) – claim 1, 8, 17, 20, and 30
- iii. a *non-circular* shaped head (flanged portion of piece set in 137; Figure 9) – claim 1
- iv. The component of Claim 1, wherein the first fastener members (piece set in 137; Figure 9; not numbered) are T-nuts having a T-shape and internal threads, as claimed by claim 2

- v. The component of Claim 1, wherein the surface (lower surface) that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64) is a second bearing surface (lower surface) and the bearing surface (lower surface) of each of the first fastener members (piece set in 137; Figure 9; not numbered) is bonded with an elastomer to the second bearing surface (lower surface), as claimed by claim 3. Applicant's claim requirement of "each of the first fastener members is *bonded* with an elastomer to the second bearing surface" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.
- vi. The component of Claim 1, wherein each of the first fastener members (piece set in 137; Figure 9; not numbered) comprises a rectangular shaped head (flanged portion of piece set in 137; Figure 9), as claimed by claim 5
- vii. The component of Claim 4, wherein (i) each of the first fastener members (piece set in 137; Figure 9; not numbered) comprises internal threads, and each of the second fastener members (134; Figure 9; column 6; lines 52-64) comprises external threads engaged with the internal threads of a respective first fastener member (piece set in 137; Figure 9; not numbered), or (ii) each of the first fastener members (piece set in 137; Figure 9; not numbered) comprises external threads, and each of the second fastener members (134;

Figure 9; column 6; lines 52-64) comprises internal threads engaged with the external threads of a respective first fastener member (piece set in 137; Figure 9; not numbered), as claimed by claim 9

- viii. T-nuts having a T-shape located in the second portions (137; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accommodating 134; Figure 9) – claim 10
- ix. the connectors (136; Figure 9) being detachably engaged with the T-nuts such that the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) is detachable from the first backing plate (116; Figure 6, 9; column 6; line 52 - column 7; line 55) – claim 11
- x. The component of Claim 11, wherein the connectors (136; Figure 9) include external threads, as claimed by claim 13
- xi. the T-nuts comprise at least one surface bonded to the load-bearing surface (lower surface – claim 14
- xii. The component of Claim 11, wherein the first portions (138; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accommodating 134; Figure 9) are round holes having diameters larger than diameters of openings in the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134), as claimed by claim 16
- xiii. a plurality of T-nuts having a T-shape, each T-nut being mounted in a respective aperture (137+138; Figure 9; column 6; lines 52-64) of the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55), each T-nut including a bearing surface facing a surface at least partially defining the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accommodating 134; Figure 9); and a second fastener



- member (134; Figure 9; column 6; lines 52-64) engaged with each T-nut to secure the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) to the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) – claim 17
- xiv. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46) assembly of Claim 17, wherein the head of each of the T-nuts comprises a bearing surface adhesively bonded to the bearing surface of the aperture (137+138; Figure 9; column 6; lines 52-64), as claimed by claim 18. Applicant’s claim requirement of “a bearing surface *adhesively bonded* to the bearing surface” is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.
- xv. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46) assembly of Claim 17, wherein the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of each aperture (137+138; Figure 9; column 6; lines 52-64) is configured to prevent rotation of the T-nut relative to the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55), as claimed by claim 19
- xvi. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; “C” column 1; lines 30-46) assembly of Claim 17, wherein (i) each of the T-nuts comprises internal threads, and each of the second fastener members (134; Figure 9; column 6; lines 52-64)

comprises external threads engaged with the internal threads of a respective T-nut, as claimed by claim 21

- xvii. The showerhead electrode (117+143; Figure 6, 9; column 7; line 30; "C" column 1; lines 30-46) assembly of Claim 17, wherein the top plate (133; Figure 6, 9; column 5; lines 40-58 via conduction from 134) is on the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) , adjacent the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) of the apertures (accomodating 134; Figure 9) of the backing member (116; Figure 6, 9; column 6; line 52 - column 7; line 55) , and temperature-controlled, as claimed by claim 29
- xviii. The component of Claim 1, wherein each first fastener member (piece set in 137; Figure 9; not numbered) includes a cylindrical shaped shaft extending axially from the bearing surface (lower surface) of the head (flanged portion of piece set in 137; Figure 9) *and received in a round hole defined by the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64), and the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of each of the apertures (accomodating 134; Figure 9) is shaped to mate with the head (flanged portion of piece set in 137; Figure 9) of the first fastener member (piece set in 137; Figure 9; not numbered) mounted in the aperture (137+138; Figure 9; column 6; lines 52-64), as claimed by claim 31*
- xix. The component of Claim 10, wherein each T-nut includes a rectangular shaped head and a cylindrical shaped shaft extending axially from a surface of the head and received in a round hole defined by the first portion (138; Figure 9; column 6; line 52 - column 7; line

55) of the aperture (137+138; Figure 9; column 6; lines 52-64), the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of each of the apertures (accommodating 134; Figure 9) is shaped to mate with the head of the T-nut, as claimed by claim 34

xx. The component of Claim 34, wherein the surface of the head of each of the T-nuts is bonded with an elastomer to a surface that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the respective aperture (137+138; Figure 9; column 6; lines 52-64), as claimed by claim 35

xxi. The component of Claim 17, wherein each T-nut includes a rectangular shaped head and a cylindrical shaped shaft extending axially from the bearing surface of the head and received in a round hole defined by the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) of the aperture (137+138; Figure 9; column 6; lines 52-64), as claimed by claim 37

xxii. The component of Claim 37, wherein the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of each of the apertures (accommodating 134; Figure 9) is shaped to mate with the head of the T-nut mounted therein, and the bearing surface of each of the T-nuts is bonded with an elastomer to the surface (lower surface) that at least partially defines the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) of the respective aperture (137+138; Figure 9; column 6; lines 52-64), as claimed by claim 38. Applicant's claim requirement of "the bearing surface of each of the T-nuts *is bonded with an elastomer* to the surface that at least partially defines the second portion" is a product-by-process claim limitation. Because the examiner has provided a rationale tending to show that the claimed product appears to be the same or similar to that of the

prior art, although produced by a different process, the burden shifts to applicant to come forward with evidence establishing an unobvious difference between the claimed product and the prior art product. In re Marosi, 710 F.2d 798, 802, 218 USPQ 289, 292 (Fed. Cir. 1983). Refer to MPEP 2113.

- xxiii. the first portion (138; Figure 9; column 6; line 52 - column 7; line 55) being wider in a transverse direction than the second portion (137; Figure 9; column 6; line 52 - column 7; line 55) - claim 40

Hao teaches equivalent plasma processing electrodes made of graphite and silicon materials (column 3; lines 51-55).

Nishimura teaches a securing means as T-nuts (10; Figure 1) having a T-shape with a first portion (12; Figure 1,4) being wider in transverse direction than a second portion (11; Figure 1,4). Nishimura's T-nuts having a T-shape (10; Figures 1) each include a head (12; Figure 1).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Katoh's fastener members (piece set in 137; Figure 9; not numbered) with Nishimura's T-nuts having a T-shape (10; Figure 1) and for Katoh to use Hao's electrode materials.

Motivation to replace Katoh's fastener members (piece set in 137; Figure 9; not numbered) with Nishimura's T-nuts having a T-shape (10; Figure 1) is for "stably seating" apparatus parts as taught by Nishimura (abstract), further, motivation for Katoh to use Hao's electrode materials is for economic fabrication of Katoh's apparatus.

#### ***Response to Arguments***

8. Applicant's arguments with respect to claims 1-5, 8-11, 13, 14, 16-21, 29-40 have been considered but are moot in view of the new grounds of rejection.

*Conclusion*

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Rudy Zervigon whose telephone number is (571) 272-1442. The examiner can normally be reached on a Monday through Thursday schedule from 8am through 7pm. The official fax phone number for the 1792 art unit is (571) 273-8300. Any Inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Chemical and Materials Engineering art unit receptionist at (571) 272-1700. If the examiner can not be reached please contact the examiner's supervisor, Parviz Hassanzadeh, at (571) 272-1435.

*Rudy Zervigon*  
1/14/8